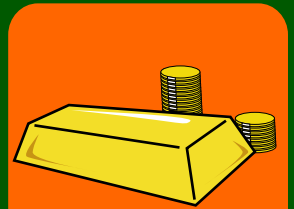
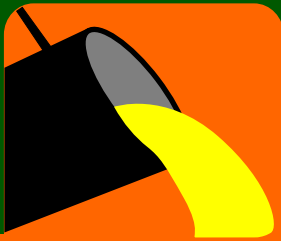


FAIRWEALTH COMMODITY BROKING PVT. LTD.

MEMBER

- NATIONAL SPOT EXCHANGE LIMITED



CLIENT REGISTRATION FORM

BRANCH / SUB-BROKER CODE : _____

BRANCH / SUB-BROKER NAME : _____

EMPLOYEE CODE : _____

RELATIONSHIP MANAGER : _____

MARKETING EXECUTIVE : _____

CLIENT CODE : _____

CLIENT NAME : _____

Dr. StockTM

INSTRUCTIONS

1. Affix a recent passport size photograph.
2. Pin code is compulsory in the address details.
3. It is mandatory to provide the Income Tax PAN with proof of the PAN
4. Documents are required for proof of address, identity and signature as per the Box.
5. Complete signature of the client on all pages is required. The client should also sign at all relevant places marked ⊗ and where information is filled by hand or where information is provided in blank spaces.
6. It is mandatory to fill up the details in the Schedule relating to Adjustment of balances in family account's and signatures of all the clients who are members of the family. This form should be filled up in duplicate.
7. Each client should use a separate registration form. If members of a family wish to open separate accounts, each member should fill up a separate form. Accounts cannot be opened in joint names.
8. Originals will be required for verification at the time of submission of the application.

DOCUMENTS REQUIRED

FOR INDIVIDUALS

Compulsory Documents to be Enclosed

- One Photograph (Duly signed)
- Self Certified supporting documents of Identity, address & Bank Proof

Proof of Identity (any one of the following)

- PAN with Photograph Passport
- Voter ID Card Driving License
- I Card issued by Central Govt./State Govt.

Proof of Address (any one of the following)

- Passport Voter ID Card
- Driving License Ration Card
- Residence Telephone Bill (Not more than 2 months old)
- Electricity Bill (not more than 2 months old)
- Bank Pass Book, Bank Statement with Current Transaction Statement (not more than 3 months old)

Proof of Bank

1. Copy of Bank Passbook/Statement (not older than 2 months)
2. An original cancelled cheque leaf (IFSC Code & MICR should be printed)
(Client Details should be printed on submitted bank proof, If client details not printed on bank proof than client can submit attested documents by banker)

FOR PROPRIETORSHIP FIRM

Same as Individual and the following

1. Address & Bank proof should be in the name of firm.

FOR HUF

1. One Photograph of Karta (Duly signed & Stamp)
2. Copy of PAN Card of HUF
3. Copy of Address & Bank proof of HUF
4. Copy of pan card & Address proof of Karta of HUF

FOR NRI ACCOUNT

1. Copy of PAN Card of NRI
2. Indian & Foreign address proof of NRI
3. Copy of Bank proof of NRI (NRE/NRO Status should be printed on proof)
4. Copy of RBI Approval Letter (Primary Banks are also allowed)
5. Certified True Copy of Power Of Attorney to whom power is given for signature & trading on behalf of NRI- Optional
6. Copy of pan card & Address proof of Authorized Person to whom the power of attorney is given for signature & trading on behalf of NRI.
7. All the photocopies of the proofs must be certified by the Indian embassy/consulate or notary public or bank of that country. This requirement may be waived if the In-Person verification of the client is conducted.

Name and designation of the employee who interviewed the client:

Name of Employee :

Designation : Signature :

Employee Code :

--	--	--	--	--	--	--	--

IN PERSON VERIFICATION

Name of Employee :

Designation :

Date : Place:

Signature of Employee :

Fairwealth Commodity Broking Pvt. Ltd.

Member : National Spot Exchange Limited (NSEL)

For office use only

Branch _____	Account opening date _____
Branch Code _____	Group Code _____
Client code _____	Approved by _____
Verified by _____	

Opting for Internet Trading

Yes No

Login ID _____

Product **Master Net (Browser based)**

Master Swift (Application based)

Brokerage : Normal _____	Square up _____	Minimum _____
Add Charges : ST. _____	T.C. _____	O.C. _____
S.C. _____	C&F Agent C. _____	

CLIENT REGISTRATION FORM FOR INDIVIDUAL / SOLE PROPRIETORSHIP / HUF

(This information is the sole property of the trading member / brokerage house and would not be disclosed to anyone unless required by law or except with the express permission of clients)

Branch / Sub Broker's address

Sign & Stamp
across the
Photograph
(Stamp in case of
HUF, Prop.)

Please sign Across
on photograph

To,
Fairwealth Commodity Broking Pvt. Ltd.
 UG-38, Somdutt Chambers II, 9, Bhikaji Cama Place, New Delhi-110066
 Ph.: 011-46091131-78, 0124-3024400 Fax : 0124-3024615 • E-mail : commodity@fairwealth.in
Regn. No. NSEL ID : 13250

Dear Sir,
 We request you to register us as your client. The details of Registration is as under :

INVESTOR TYPE :													
<input type="checkbox"/> INDIVIDUAL <input type="checkbox"/> PROPRIETORSHIP FIRM <input type="checkbox"/> HUF <input type="checkbox"/> NRI <input type="checkbox"/> Others.....													
1.	Full Name	(Sur Name)			(Name)			(Middle Name)					
2.	Date of Birth / Age	D	D	M	M	Y	Y	Y	Y				
3.	Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female											
4.	Father's Name												
5.	Occupation	<input type="checkbox"/> Employed <input type="checkbox"/> Private Sector Employment <input type="checkbox"/> Public Sector / Govt. Employee <input type="checkbox"/> Self Employed <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> House-Wife <input type="checkbox"/> Agricultruist <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Unemployed <input type="checkbox"/> Others (Pl. Specify)_____											
		6.	Net worth as on	D	D	M	M	Y	Y	Y	Y	Amount	
		7.	Nationality										
8.	IT Permanent Account (PAN)												
9.	Correspondence Address												
		City							PIN Code				
		State							Country				
	Telephone No. (with STD Code)							Mobile No. (Mandatory)					
	Fax No. (with STD Code)												
	E-mail ID (Mandatory)												
10.	Residential Address												
		City							PIN Code				
		State							Country				
	Telephone No. (with STD Code)							Mobile No. (Mandatory)					

11.	Details of Bank Account		Account Type <input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> NRI <input type="checkbox"/> Others _____											
	Bank Account No.		MICR No.											
	Bank Name													
	Address													
	IFSC/NEFT/RTGS													
12.	Sales Tax Registration Details													
	Local Sales Tax State Regn. No.		Validity Date		D	D	M	M	Y	Y	Y	Y		
	Central Sales Tax Regn. No.		Validity Date		D	D	M	M	Y	Y	Y	Y		
13.	Depository Account Details		<input type="checkbox"/> NSDL					<input type="checkbox"/> CDSL						
	Depository Participant Name													
	DP ID		Client ID											
14.	Financial Details of the Client													
	Income Range (Per annum) (Tick where applicable)		<input type="checkbox"/> Below Rs. 1,00,000 <input type="checkbox"/> Rs. 1,00,000 to Rs. 5,00,000 <input type="checkbox"/> Rs.5,00,000 To 10,00,000 <input type="checkbox"/> Rs. 10,00,000 To 25,00,000 <input type="checkbox"/> Above Rs. 25,00,000											
15.	Investment/Trading Experience		<input type="checkbox"/> No Prior Experience <input type="checkbox"/> _____ Years in Commodities <input type="checkbox"/> _____ Years in Other investment related fields											
16.	Collateral Details													
	Collateral's Declared Value		% Haircut					Assigned Value						
	Cash													
	Marketable													
	Securities													
	Bank Guarantees													
	Immovable													
	Property													
	Jewellery													
	Others (Specify)													
17.	Trading Preference													
	Commodity Exchanges on which you wish to trade (if the member is also registered with other Exchanges)													
	1.													
	2.													
3.														
18.	Details of any action taken by SEBI/FMC/Stock Exchange/Commodity Exchange/any other authority													
19.	Details of Registration with other Exchanges													
	Name of Exchange		Client Code No.											
	Name of Broker													
	Whether Employee / Agent / Approved User / Authorised Person / Sub Broker of any other *Trading/Clearing Member :													
	<input type="checkbox"/> Yes Name of Member _____		<input type="checkbox"/> No											
20.	References													
	Introduction : Introduced by another client/director or employee of trading member / any other person (please specify)													
	Name of Introducer :													
Signature														
<p>The information furnished above is true to the best of my knowledge and belief. I undertake to inform changes in any of the above in writing immediately to the Exchange. I enter into agreement to abide by all the terms and conditions of the Exchange.</p>														
										Place: _____				
<input checked="" type="checkbox"/> (2) _____ Authorised Signatory										Date : _____				

MEMBER AND CONSTITUENT AGREEMENT-NSEL

This agreement is made atthisday of..... by and between Fairwealth Commodity Broking Pvt. Ltd., a company/firm/individual or any other body duly formed and registered under the Relevant Act, hereinafter called MEMBER OF THE EXCHANGE, having its registered office address at UG-38, Somdutt Chambers II, 9, Bhikaji Cama Place, New Delhi-110066 and, a company / firm / individual or any other body duly formed and registered under the Relevant Act, hereinafter called CLIENT, having its registered office address at

Witnessth:

Whereas the member is registered as MEMBER OF THE NATIONAL SPOT EXCHANGE LIMITED (hereinafter called (NSEL).

Whereas the CLIENT is desirous of trading in those contracts admitted for dealing on NSEL as defined in the Bye – Laws, Rules and Business Rules of NSEL.

Whereas the CLIENT has satisfied himself of the capability of the MEMBER OF THE EXCHANGE to deal in those contracts admitted for dealing on the NSEL and wishes to execute his orders through him and the CLIENT shall continue to satisfy him of such capability of the MEMBER OF THE EXCHANGE before executing any orders through him.

Whereas the MEMBER OF THE EXCHANGE has satisfied and shall continuously satisfy himself about the genuineness and financial soundness of the CLIENT and trading objectives relevant to the services to be provided.

Whereas the MEMBER OF THE EXCHANGE has taken steps and shall take steps to make the CLIENT aware of the precise nature of the MEMBER liability for business to be conducted, including any limitations on that liability and the capacity in which it acts.

In consideration of handling transactions carried out on the NSEL, THE CLIENT understands the following risks disclosed by the Member and states as follows:

- A. I / We understand that the spot trading involves daily settlement. Every day the net outstanding position (whether purchase or sale) at the end of the day shall result into compulsory delivery. I/We shall ensure that the commodities are as per the contract specifications of the Exchange and within tolerance limits set out in the contract specification and such commodities are delivered at the designated warehouse of the Exchange before the scheduled pay-in time fixed by the Exchange. I/We further understand that in case of failure on my part to deliver the specified commodity at the specified delivery center within the specified time set by the Exchange, such commodities shall be procured by the Exchange through auction procedure at my cost and risk. I / we shall be liable to make good of such losses that may be recovered from the members by the Exchange on my account.
- B. I / We understand that in case of my liability of funds pay-in position, I shall ensure transfer of funds to the members account well before the schedule pay-in time to enable the member to meet his funds pay-in obligation on my account. I/We further understand that in case of failure on my/our part to pay/transfer funds before the scheduled pay-in date, the commodities purchased by the member on my account may be auctioned by the Exchange/sold by the Member at my cost and risk. I / We shall be liable to make good of such losses that may be recovered from/suffered by the members on my account.
- C. I / we hereby authorize the member to execute all my written/verbal order for purchase and sale of commodities and comply with the Sales Tax/ VAT/ CST related liabilities on my behalf. I / we irrevocably authorize the member to recover all the dues from my account against sales tax and other liabilities that may arise in the course of executing my orders on the Exchange platform. I further undertake that the member shall be authorized to take all actions for compliance with the VAT regulations.
- D. I / We understand that my purchase and sales positions shall be marked to market at end of the day of transaction based on the closing price of commodities. I / We shall be required to deposit the amount of loss (notional) resulting from such closing price (if any). This margin will have to be paid within a stipulated time frame, generally before commencement of trading next day.
- E. If I / we fail to deposit the additional margin by the deadline or if an outstanding debt occurs in my account, the Member of the Exchange may liquidate a part of or the whole position or substitute commodities. In this case, I / we shall be liable for any losses incurred due to such close outs.
- F. My order for purchase or sale of any commodity may not be executed when there are insufficient bids or offers or suspension of trading due to price limit or circuit breakers etc.
- G. In order to maintain market stability, the Exchange may change the margin rate, increases in the cash margin rate or others. These new measures may be applied to the existing outstanding position, which are yet to be settled. In such conditions, I / We shall be required to put up additional margins against my positions.
- H. I / We shall ask my Member of the Exchange to provide the full details of the spot contracts before I / WE plan to trade i.e. the contract specifications and the associated obligations.
- I. Before trade, I / We shall obtain a clear explanation of all commission, fees and other charges for which I / We will be liable.
- J. The Exchange offers electronic trading facilities, which are computer-based systems for order-routing, execution, matching, registration or clearing of trades. As with all facilities and systems, they are vulnerable to temporary disruption or failure. The execution of my orders shall be subject to limits on liability imposed by the system provider, the market, the Clearing House and/or Member of the Exchange firms.

In view of the above, I / WE agree that

- 1) I / WE am aware of the risks stated above and understand the trading & risks involved in the trading through the Electronic spot Exchange and am fully responsible for my dealings in this Exchange platform.
- 2) I / WE shall be bound by the Bye-Laws, Rules, Business Rules, and Customs of the NATIONAL SPOT EXCHANGE LIMITED and the Clearing House of the Exchange.
- 3) I / WE shall deposit monies, Warehouse Receipts or other property, which may be required to open and carry out the transactions in my account with you as my agent at the capacity of the Member of NSEL and I / WE being your client.
- 4) I / WE shall not, acting alone or in concert with others, directly or indirectly, hold and control or create excess position than what is permitted from time to time by the Exchange or any other authority.
- 5) All monies, Warehouse Receipts or other property, which you may hold on my account, shall be held subject to a general lien for the discharge of my obligations to you under this agreement.
- 6) I / WE hereby authorize you at your discretion, should you deem it necessary for your protection to buy, sell or close out any part or all of the contracts held in my account with you. I / WE will reimburse any or all such incidental expenses incurred by you.

Now, THEREFORE, in consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the terms and conditions, as follows:

- 1. The agreement entered into between the Member and the CLIENT shall stand terminated by mutual consent of the parties by giving at least one-month notice to each other. Such termination shall not have any effect on the transactions executed before the date of notice of termination and the parties shall enjoy same rights and shall have same obligations in respect of such transactions.
- 2. In the event of the death or insolvency of the CLIENT or his otherwise becoming incapable of receiving and paying for or delivering or transferring Commodities which the CLIENT has ordered to be bought or sold, the Member may with the approval of the Exchange, close out the transaction of the CLIENT and the CLIENT or his legal representative shall be liable for any losses, costs and be entitled to any surplus which may result there from.
- 3. All trades, transactions and contracts are subject to the Rules, Bye Laws and Business Rules of the Exchange and shall be deemed to be and shall take effect as wholly made, entered into and to be performed in the city of MUMBAI for the purpose of giving effect to the provisions of the Rules, Bye - Laws and Business Rules of the Exchange.

In WITNESS THEREOF, the parties to agreement have caused these presents to be executed as of the day and year first above written.

Signed and delivered by


Signed and delivered by Fairwealth Commodity Broking Pvt. Ltd.,

Client - Name and Signature

Client - Name and Signature

Name :

Name :

Signature : 

Signature :

Please go through the terms & conditions of agreement & risk disclosure documents carefully before signing

Witness - Name and Signature

Witness - Name and Signature

Name :

Name :

Address :

Address :

:

:

Signature :

Signature :

AUTHORISATION LETTER FOR AUTHORISED PERSON

Fairwealth Commodity Broking Pvt. Ltd.
UG-38, Somdutt Chambers II,
9, Bhikaji Cama Place, New Delhi-110066

ANNEXURE - A

OPTIONAL

AUTHORITY LETTER FOR AUTHORISED PERSON

I/we have been / shall be dealing through you as my / our broker on the Commodity Exchanges such as NSEL. As my / our Broker Le. agent I / we direct and authorize you to carry out trading / dealings on my / our behalf as per instructions given below. Please treat these instructions as written ratification of my lour verbal directions/ authorizations given and carried out by you earlier.

I/we authorise Mr./Ms.....to deal / transact on my lour behalf and to place orders, give instructions, make & receive payments of deliveries and monies, collect contract notes, bills, order confirmations, trade confirmations, account statements and any other documents or communication, to sign any document, settle the account, enter into any compromise and to do any and all act (s) on my lour behalf which I/we can do. And I /We the undersigned do hereby agree and declare and confirm that all the acts and things done by him/her or his/her substitutes shall be my lour acts, deeds and things validly done by me/us to all intents and purposes.

Please treat this authorization as written ratification of my/our verbal directions/ authorizations given and carried out by you earlier. I/We agree to indemnify you and keep you indemnified against all losses, damages and actions which you may suffer or face as a consequence of adhering to and carrying out my lour directions given above.

Thanking You

Yours faithfully

Client Name.....

Signature: ⊗ (11).....

Name & Address details of my/ our Authorised Person is:

Name :

Address :

.....

Fairwealth Commodity Broking Pvt. Ltd.
UG-38, Somdutt Chambers II,
9, Bhikaji Cama Place, New Delhi-110066

ANNEXURE - B

OPTIONAL

Sub.: Mandate to issue digital contract note

UCC/UID :

Dear Sir,

This has reference to member client agreement entered with you for dealing with you in the Commodity Futures Contracts / Commodity Derivatives on NSEL. In terms of the member client agreement entered with you, I/We hereby give you my/our mandate to send me/us the contract notes in the digital form in the prescribed format at my/our e-mail ID/address..... I/we will intimate you discrepancies, if any within 24 hours of issuance of contract notes. My/our non-verification or not accessing contract notes on regular basis will not be the cause of any dispute with you.

Yours faithfully

Signature ⊗ (12).....

Name

Address

LETTER OF AUTHORITY

Fairwealth Commodity Broking Pvt. Ltd.
UG-38, Somdutt Chambers II,
9, Bhikaji Cama Place, New Delhi-110066

STANDING INSTRUCTIONS / AUTHORISATION LETTER

I/we have been / shall be dealing through you as my broker on the Commodity Exchanges such as NSEL. As my Broker i.e. agent I/we direct and authorize you to carry out trading/dealings on my/our behalf as per instructions given below. Please treat these instructions as written ratification of my / our verbal directions / authorizations given and carried out by you earlier.

1. Since you are issuing contract notes bearing order numbers and trade numbers on a daily basis, please do not issue the order/trade confirmation slips as generated from the Trading Terminal.
2. I am / we are aware that you are required to issue payment/delivery due to me/us as per the payout from the exchange. In order to facilitate operations, I /we hereby authorise you to maintain running accounts with you; to debit my our running account for the margin/funds &/or deliveries payable by me/us; to include any/all the pay out of funds &/or deliveries towards margin/delivery and/or towards pay in obligations and / or towards funds / deliveries payable by me / us, without any specific authorization on pay out to payout basis and to release the funds & / or deliveries (if any) due to me/us on my / our specific request only. I /we also agree that you shall not be liable for any claim for loss or profit, or for any consequential, incidental, special or exemplary damages, caused by retention of such deliveries/funds under this agreement or otherwise.
3. Pledge/deposit my/our deliveries & / or funds whether deposited as collateral/margin or permitted by us to be retained in the running account etc. by you with any bank or any other institution including but not limited to the Exchange(s) / Clearing Corporation / Clearing House for the purposes of raising funds, bank guarantees and FDRs etc., or towards Margin/Collateral as also to meet shortfall in my/ our funds deliveries pay in obligation / auctions or assignment of contracts or any other liability arising out of my / our dealings with you/ through you. I/we authorise you to do all such acts, deeds and things as may be necessary and expedient for placing such deliveries with the Exchanges/banks/institutions as margin. You are further authorised to sell / liquidate these deliveries / FDRs at the time and manner of your choice, as & when deemed fit by you in your absolute discretion to meet any shortfalls in my/our accounts or any other liability of mine/ ours without any reference to me/us.
4. I/we hereby authorise you to set off a part or whole of the Margin (i.e. by the way of appropriation of the relevant amount of cash or by sale or transfer of all or some of the deliveries which form part of the margin), against any of my/our dues or against the dues of a member of my / our "Family" (for the purposes of this agreement "Family" shall hereinafter mean all the individuals, relatives, group companies/associate companies/firms/ entities and such other persons) in the event of my / our failure or the failure of a member of my / our Family to meet any of their respective obligations under these terms.
5. I/we agree that any loss due to any erroneous order entry / erroneous order modification shall be entertained by you only to the extent of insurance received.
6. In case I /we have made any purchase and the delivery of the same falls short inter-client at the broker level, I /we hereby authorise you to make purchases of the same in the market to make available the delivery of the said deliveries to me only if you feel fit in your absolute discretion.
7. In case I /we have made any sale and due to any exigencies I /we am/are unable to make available the delivery of the same in the designated account within the designated time and it is an inter client delivery at broker level, I/we hereby authorise you to make purchases of the same in the market on my behalf to make available the delivery to the opposite party only if you feel fit in your absolute discretion.
8. I/ we agree that any amount overdue from me I us shall be adjusted by you from dues owed to me / us by any of your group or associate company. Conversely, any money owed by any of your group or associate company to me / us shall offset against the dues owed by you to me/ us.
9. I/We hereby authorise you to kindly adjust the debit balance lying with you in my one exchange account(s) against the credit balance available in my other exchange account(s).

Please treat this authorization as written ratification of my / our verbal directions / authorizations given and carried out by you earlier. I/We agree to indemnify you and keep you indemnified against all losses, damages and actions which you may suffer or face as a consequence of adhering to and carrying out my / our directions given above.

Thanking you,

Yours faithfully,

Client Name:.....

Signature: ⊗ (13)

AUTHORISATION LETTER FOR ORDER INSTRUCTIONS

Fairwealth Commodity Broking Pvt. Ltd.
UG-38, Somdutt Chambers II,
9, Bhikaji Cama Place, New Delhi-110066

ANNEXURE - C
OPTIONAL

AUTHORITY LETTER FOR ORDER INSTRUCTIONS

I/ we have been / shall be dealing through you as my/our broker on Commodity Exchanges such as NSEL

I / we understand that you require written instructions for receiving / modifying / cancelling orders. However, since it is not practical to give written instructions for order, I/we hereby authorize you to kindly accept my/our 'authorised representatives' verbal instructions / orders in person or over phone and execute the same. I/we understand the risk associated with verbal orders and accept the same, and agree that I/we shall not be entitled to disown orders under the plea that same were not under mine/our instructions.

Please treat this authorization as written ratification of my / our verbal directions / authorizations given and carried out by you earlier. I/We agree to indemnify you and keep you indemnified against all losses, damages and actions which you may suffer or face as a consequence of adhering to and carrying out my / our directions given above.

Thanking You

Yours faithfully

Client Name.....

Signature: ⊗ (14)

DETAILS OF MULTIPLE BANK / DEPOSITORY ACCOUNTS TO BE USED FOR PAYIN / PAYOUT**ANNEXURE - D****OPTIONAL**

To,

Date: _____

Fairwealth Commodity Broking Pvt. Ltd.UG-38, Somdutt Chambers II,
9, Bhikaji Cama Place, New Delhi-110066**Sub:- Details of Multiple Bank / Depository Accounts to be Used for Payin / Payout**

Dear Sirs,

During the course of dealings with you, I/we may use my/our various Bank / Depository accounts for payin of funds / Commodities. The details of the same are as under :

BANK ACCOUNTS

Sr. No.	Name, Address, Tel. No. & Fax No. of the Bank	Type of Account	Account No.	Name of Account	Date of Opening
1.					
2.					
3.					

DEPOSITORY ACCOUNTS

Sr. No.	Name of the Depository (CDSL /NSDL)	Client Name	Client ID No.	DP Name	DP ID No.
1.					
2.					
3.					
4.					

The relevant proof of aforesaid accounts standing in my / our name are enclosed.

Further I / We request you to give payout of funds to my / our Bank Account mentioned at Sr. No. _____ above and payout of Commodities to my/our Depository Account mentioned at Sr. No. _____ above.

Thanking You,

Yours faithfully,

⊗ (15) _____

Signature :

Name & Address

MANDATE TO ISSUE FINANCIAL LEDGER IN DIGITAL MODE

To,
Fairwealth Commodity Broking Pvt. Ltd.
 UG-38, Somdutt Chambers II,
 9, Bhikaji Cama Place, New Delhi-110066

ANNEXURE - E**VOLUNTARY****Sub.: Mandate to Issue Financial Ledger in digital mode****UCC / UID :** _____

Dear Sir,


This has reference to member client agreement entered with you for dealing through you in the commodity market segment of NSEL segment. In terms of the member client agreement entered with you, I/we hereby authorize you to send me/us the financial ledger in the digital form in the prescribed form at my/our E-mail ID / Address

1. _____

2. _____

Yours faithfully

Client Name.....

Signature:  (16)**CHANGE IN BANK ACCOUNT / E-MAIL**

To,
Fairwealth Commodity Broking Pvt. Ltd.
 UG-38, Somdutt Chambers II,
 9, Bhikaji Cama Place, New Delhi-110066

ANNEXURE - F**VOLUNTARY****Sub.: Change in Bank Account / E-mail**

Dear Sir,

This is to inform you that there has been change / addition in my bank account/e-mail address as per details given below so it is a humble request you to kindly update your records for any of the compliance matter of the exchanges

A) Bank Account

Sr. No.	Branch Name	Address	Account No.	Addition/Alteration

B) New E-mail Address (treat the same as mandate to issue digital contract notes)

S. No.	E-mail Address (Old)	E-mail Address (New)	Remarks if any

Yours faithfully

 (17)

Client Name.....

Signature:



Fairwealth Commodity Broking Pvt. Ltd.
UG-38, Somdutt Chambers II,
9, Bhikaji Cama Place, New Delhi-110066

ANNEXURE - G
OPTIONAL

Sub.: Receipt of KYC, RDD, MCA etc.

Dear Sir,

I/We hereby acknowledge the receipt of the copy of the undermentioned documents which are in accordance with the norms of the guidelines issued by the NSEL.

- Copy of the Client Registration Form
- Copy of MCA
- RDD with other Voluntary undertakings

Looking for a long lasting relationship.

Yours faithfully

Signature  (18) _____

Name :

Address:
.....



DPID

1	2	0	4	9	1	0	0
---	---	---	---	---	---	---	---

 BO ID

--	--	--	--	--	--	--	--	--	--

S. NO. _____

APPLICATION FORM FOR OPENING A DEMAT ACCOUNT COMMODITY

DP Name : FairWealth Securities Pvt. Ltd.	DP ID - 12049100								
Branch Name : FairWealth Securities Pvt. Ltd.	Branch Address : _____ _____								
Staff doing 'In person' Verification	Name : _____ Employee Code : _____ Signature :# _____								
Date of in person Verification : <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	Place of in person Verification : _____
D	D	M	M	Y	Y	Y	Y		
Signature(s) of applicant(s) : (Signature in the presence of the DP staff)	1st Holder <input type="checkbox"/> _____ 2nd Holder <input type="checkbox"/> _____ 3rd Holder <input type="checkbox"/> _____								

* In case of joint account, all joint must sign.

For Office Use Only

Receipt Stamp	Branch	Group
---------------	--------	-------

Holder's Name _____
Branch Code and Name _____

FairWealth Securities Ltd.

DPID : 12049100 DP SEBI Regn. No. IN-DP-CDSL-393-2007

Regd Office: Plot No. 651-652, Udyog Vihar, Phase-V, Gurgaon-122001 (Haryana)

Ph. : 0124-3024400, Fax : 0124-3024474

E-mail - dp@fairwealth.in, Website : www.fairwealth.in

* Please sign where ⊗ is marked. Total no. of signatures 18 in case of individual and 19 in case of HUF account.



INSTRUCTIONS FOR FILLING ACCOUNT OPENING FORM

(Please read carefully before filling the form)

- 1) All communications shall be sent at the correspondence address of the Sole/First holder only.
- 2) Thumb impressions and signatures other than English or Hindi or any of the other languages not contained in the 8th Schedule of constitution of India must be attested by a Magistrate or a Notary Public or a special Executive Magistrate.
- 3) As per CDSL following documents may be accepted as proof of identity / proof of address (local / correspondence / foreign / address as the case may be) / Proof of Bank Details :

PROOF OF IDENTITY :

- Passport Voter Id Card Driving License
- PAN Card with Photograph

* As per SEBI PAN Card is mandatory requirement for demat account opening for all the holder(s) in all categories.

* Date of Birth Certificate, in case of minor account

Identity Card / document with applicant's Photo issued by

- A Central / State Government and its Departments B Statutory / Regulatory Authorities
- C Public Sector Undertakings D Scheduled Commercial Banks
- E Public Financial Institutions F Colleges affiliated to Universities
- G Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc. to their Members and
- H Credit Cards / Debit Cards with photo issued by Banks.

PROOF OF ADDRESS :

- Ration Card Passport Voter ID Card Driving Licence
- Bank passbook with name and address
- Bank statement with name and address or original bank statement (showing the name of the B.O., Address of the B.O. and Bank transaction a period, printed on the bank stationery) self attested by the B.O. alongwith cancelled cheque (in original) pertaining to the said bank account as a valid proof of address, provided that such original bank statement is not more than 6 months old.

Verified copies of

- a) Electricity bills (not more than two months old from bill generation date)
- b) Residence Landline Telephone bills (not more than two months old from bill generation date)
- c) Notarised copy of Leave and License agreement / Agreement for sale.
- Self-declaration by High Court & Supreme Court Judges, giving the new address in respect of their own accounts Identity card / document with address, issued by
- A Central / State Government and its Departments B Statutory/Regulatory Authorities
- C Public Sector Undertakings D Scheduled Commercial Banks
- E Public Financial Institutions F Colleges affiliated to universities and
- G Professional Bodies such as ICAI, ICWAI, Bar Council etc. to their Members.

PROOF OF BANK DETAILS :

- Copy of cancelled cheque with printed name from the bank Copy of bank passbook with name and address and copy of cancelled cheque.
- Bank statement with name and address (duly authorised by the bank, if statement is on plain paper) not more than 4 month old and copy of cancelled cheque for MICR & Bank Detail Verification.

MICR No. = 9 Digit No. of the Bank's Branch appearing next to the Cheque No . In case payable at par cheque issued by the bank with 000XXX000 as MICR code. Please get your Bank's Branch MICR code from your Bank / sheet under the cover page of cheque book, issued to you.

The aforesaid documents are minimum requirement for opening the Account. Participants must verify the copy of the document with the original before accepting the same as valid.

4) Please ensure that all columns of Account Opening Form are duly filled and no box or space is left blank. Please strike off the boxes or space, which are not applicable.

PAYMENT DETAILS

Amount : Rs.: Cash / Cheque Cheque No.....

Drawn on : Dated :/...../20.....

Received by : Checked by..... Verified by.....

* PLEASE DO NOT USE CORRECTION ON THE FORM. ALL CUTTINGS MUST BE ATTESTED BY ALL THE JOINT HOLDERS



* Please attach clear copy of PAN card of all the holders. *Please attach clear copy of valid photo identity proof of all the holder(s), if photograph on PAN card is not clear.

Joint Holder - Second Holder Details


First Name																				
Middle Name																				
Last / Search Name																				
Father / Husband's Name																				
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Others										Suffix									
Permanent Address																				
City											Pin									
State											Country									
Tel No. (with STD Code)											Mobile No.									
Fax No.																				
PAN											Date of Birth		D	D	M	M	Y	Y	Y	Y
E-mail ID																				
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify)_____										Sex		<input type="checkbox"/> Male <input type="checkbox"/> Female							
Occupation	<input type="checkbox"/> Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body }																			
	<input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify)_____																			
Nature of Business (Product / services provided)																				

Joint Holder - Third Holder Details

First Name																				
Middle Name																				
Last / Search Name																				
Father / Husband's Name																				
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Others										Suffix									
Permanent Address																				
City											Pin									
State											Country									
Tel No. (with STD Code)											Mobile No.									
Fax No.																				
PAN											Date of Birth		D	D	M	M	Y	Y	Y	Y
E-mail ID																				
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify)_____										Sex		<input type="checkbox"/> Male <input type="checkbox"/> Female							
Occupation	<input type="checkbox"/> Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body }																			
	<input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify)_____																			
Nature of Business (Product / services provided)																				

* Please attach copy of valid residential proof(s) of all the holder(s) for address(s) mentioned.

Additional Details

SMS Facility	<input type="checkbox"/> Yes	Mobile No. + 9 1	<input type="checkbox"/> No
		<i>Refer to Terms & Conditions given as Annexure-1</i>	
	<input type="checkbox"/> Yes, If yes please contact your DP Details [Facility through CDSL's website : www.cdslindia.com wherein a BO can view his ISIN balances, transaction and value of the portfolio online.]		<input type="checkbox"/> No

IN CASE OF JOINT HOLDING, ALL JOINT HOLDERS MUST SIGN.

⊗

1st Holder

⊗

2nd Holder

⊗

3rd Holder

*** PLEASE STAMP & SIGN IN CASE OF HUF ACCOUNT**



FairWealth Securities Ltd.

DPID : 12049100 DP SEBI Regn. No. IN-DP-CDSL-393-2007
 Regd. Office : Plot No. 651-652, Udyog Vihar, Phase-V, Gurgaon-122001 (Haryana)
 Tel. : 0124-3024400 Fax : 0124-3024474 E-mail : dp@fairwealth.in Website : www.fairwealth.in



NOMINATION FORM

Dear Sir/Madam,

I/We the sole holder / Joint holders / guardian (in case of minor) hereby declare that:

- I/We **do not wish to nominate any one for this demat account.**
 [Strike out what is not applicable.] [Signatures of all account holders should be obtained on this Form]
- I/We **nominate** the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of the death of the sole holder or the death of all joint holders.

BO Account Details												
DPID	1	2	0	4	9	1	0	0	Client Id			
Name of the Sole / First Holder												
Name of Second holder												
Name of Third holder												
Nominee Details												
First Name												
Middle Name												
Last Name												
Address												
City												
State												
Country												
PIN												
Telephone No.												
Fax No.												
E-mail ID												
Relationship with BO (If any)												
Date of birth (if nominee is a minor)												

As the nominee is a **minor** as on date, I/we appoint following person to act as **Guardian**:

Guardian Details of Minor Nominee												
First Name												
Middle Name												
Last Name												
Address												
City												
State												
Country												
PIN												
Telephone No.												
Fax No.												
Age												

to receive the securities in this account on behalf of the nominee in the event of the death of the sole holder / all Joint holders.

This nomination is in the accordance with the **section 109 A of the companies Act, 1956**, and shall supersede any prior nomination made by me/ us and also testamentary document executed by me / us.

Place : _____

Date : _____

	First / Sole Holder	Second Holder	Third Holder
Name			
Signature	⊗	⊗	⊗

Note : Two witnesses shall attest signature(s) / thumb impression(s).

Details of the Witness		
	First Witness	Second Witness
Name of witness		
Address of Witness		
Signature of Witness		

(To be filled by DP)

For **FairWealth Securities Ltd.**

Nomination Form accepted and registered Wide
 Registration No. _____ dated _____

(Authorized Signatory)

INTRODUCTION DETAILSIntroduction by an existing account holder of **FairWealth Securities Ltd.**

I confirm the Identity, occupation of the Applicant (s)

Introducer's Name _____

DP ID	1	2	0	4	9	1	0	0	BO ID								
-------	---	---	---	---	---	---	---	---	-------	--	--	--	--	--	--	--	--

Signature of Introducer :

(To be verified by DP Official)

Details of introduction by an Bank Official

Name / Designation	
Bank Name / Branch	
Signature	

(To be filled in by **FairWealth Securities Ltd.**)

	NAME	DATE	INITIALS
Received by			
Checked by			
Entered by			

INSTRUCTIONS FOR APPLICANTS

- If the Clearing Member is a Sole Proprietor or an Individual, then the Corporate Account Opening Form should be filled in.
- Signatures can be in English, Hindi or any of the other languages contained in the 8th schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
- Details of the Names, Address and Tel. No. etc. of the Magistrate/Notary Public/Special Executive are to be provided in case of any attestation done by them.
- In case of additional signatures, separate annexures should be attached to the application form.
- In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarised copy thereof, Name of the POA, Signature of POA, must be lodged with the DP alongwith the application.
- All correspondence / queries shall be addressed to the **First / Sole Applicant** only.
- Suffix refers to **Mr. X Junior** or **Mr. X Senior** or **Lord Y I** or **Lord Y II** etc.
- Where the holder is a minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
- Strike off whichever is not applicable.
- The following documents are to be submitted by the Investors :-
 - * The introduction may not be required if the certified copies of any one of the following document is submitted by the BO for determining the intending BO bonafides :- Photocopy of Election ID Card / Passport / Ration Card.
 - * Date of Birth Certificate in case of Minor.
 - * Proof of NRI Status.
 - * Copy of RBI Approval for NRIs.
 - * One Passport size photograph of each Account Holder.

To be filled in case of HUF A/c Only**DECLARATION OF HUF**

This is to certify that following are the family members under _____ (HUF) and all members are agree to give POA in favour of Fairwealth Securities Limited to operate HUF Account.

S.No.	Name	Relationship with Karta	Date of Birth	Sign
1				
2				
3				
4				
5				
6				
7				
8				
9				

For _____ (HUF)

Karta

* Please stamp and sign.

AGREEMENT BETWEEN THE DEPOSITORY PARTICIPANT AND THE PERSON SEEKING TO OPEN A DEPOSITORY ACCOUNT WITH THE DP FOR THE PURPOSE OF HOLDING AND TRANSACTING IN ELECTRONIC WAREHOUSE RECEIPTS

This agreement made and entered into this day of 20 between situated at (hereinafter called "the client") and **FairWealth Securities Ltd.** having Registered Office at Plot No. 651-652, Udyog Vihar, Phase-V, Gurgaon-122001 (Haryana) (hereinafter called "the Depository Participant" or DP)

WITNESSETH

WHEREAS the Depository Participant is registered as a participant in the Central Depository Services of India Ltd. (CDSL), a duly registered depository under the Depositories Act, 1996. WHEREAS the Client has furnished to the Depository Participant the duly filled in application form as prescribed by CDSL requesting therein the Depository Participant to open an account with Depository Participant; and Depository Participant has represented to the client that it has set up a facility to extend depository services offered by CDSL to warehouse receipts. NOW THEREFORE in consideration of the Depository Participant having agreed to open an account for the Client, both the parties to the agreement hereby covenant and agree as follows:

1. The Client shall pay such charges to Depository Participant for the purpose of opening and maintaining his account, for carrying out the instructions of the Client and for rendering such other services as may be agreed to from time to time between the Depository Participant and the client as set out in schedule A. Depository Participant shall reserve the right to revise the charges by giving not less than thirty days notice in writing to the Client Depository Participant shall not levy account closure charges if client chooses to close the account.
2. The Depository Participant hereby undertakes that it shall maintain a separate account of its own warehouse receipt, if any held in dematerialised form and shall not co-mingle the same with the warehouse receipts held in dematerialised form by the Client.
3. The Depository Participant undertakes that a transfer to and from the accounts of the Client shall be made only on the basis of a written order, instruction, direction or mandate duly authorised by the Client and that the Depository Participant shall maintain adequate audit trail of such authorization.
4. The Depository Participant agrees that the Client may give standing instructions with regard to the debiting or crediting of electronic warehouse receipts in its account and the Depository Participant shall act according to such instructions.
5. The Depository Participant undertakes to provide a transaction statement to the Client atleast at monthly intervals unless the Depository Participant and the Client have agreed in writing for provision of such statements at shorter intervals. However, if there is no transaction in the account, then the Depository Participant shall provide such statement to the client at least once a quarter.
6. The Depository Participant undertakes to resolve all legitimate grievances of the Client against the Depository Participant with in a period of thirty days.
7. The client agrees to operate this beneficial owner account for the sole purpose of holding and transacting in electronic warehouse receipts and shall be solely responsible for costs and consequences of receiving credit of any other securities into this account in the event of such credit being made owing to instructions issued by the client. The DP may levy special charges to transfer such securities out of this account, in addition to the charges listed in schedule "A". However, if the client gets any erroneous credit of securities /WRs in this account, he will assist the DP in restoring the securities into the account from which the securities were received in the clients account at no cost to the client.
8. The client may seek delivery of the commodity represented by electronic warehouse receipt from the warehouse that has issued the electronic warehouse receipt. The client acknowledges that neither the Depository Participant nor CDSL shall be responsible for delivery of the commodities against the electronic warehousing receipts, whether on grounds of quantity, quality, timeliness of delivery or otherwise. The client hereby indemnifies and agrees to keep indemnified and hold the Depository Participant and CDSL harmless from and against any loss, claim, damage, injury or other cost and expense relating to delivery of the commodity underlying the warehouse receipt.
9. The charges related to the warehousing service shall be settled in accordance with the arrangement agreed to by the client, Depository Participant and warehouse without any recourse to CDSL.
10. On the failure of the Client to pay the charges for provision of services by the DP as laid out in clause(d) of this agreement within a period of fifteen days from the date of demand, Depository Participant may terminate this agreement and close the account of the Client by requiring it to specify whether the balances in its account be transferred to the account of the Client held with another Depository Participant or send a delivery advice to related warehouse.
11. The Client further agrees that in the event of the Client committing a default in the payment of any of the amounts due and owing to the DP in terms of this Agreement

with in a period of fifteen days from the date of demand, without prejudice to the right of the Depository Participant to close the account of the Client, the Depository Participant may charge interest at a rate not exceeding 15%. In case the client has failed to make the payment of any such amount, the Depository Participant shall have the right to discontinue the Depository services till such time he makes the payment alongwith interest, if any, after giving two days notice to the Client. If the Client does not make the payment for more than two months. Depository participant may sell the commodities represented by the warehouse receipts to recover the amount due to it after giving 7 days notice to the client. The DP shall be deemed to have always had a first charge and lien over the warehouse receipts in the account of the Client towards recovery of amounts due under this Agreement.

12. The Depository Participant shall have the right to terminate this agreement, for any reasons whatsoever, provided the Depository Participant has given a notice in writing of not less than thirty days to the Client. In such an event, the Client shall specify whether the balances in its account should be transferred to another account of the Client held with another Depository Participant or it will seek delivery of commodities against the electronic warehouse receipts held. Based on the instructions of the Client, the Depository Participant shall initiate the procedure for transferring such electronic warehouse receipts or send a delivery advice against electronic warehouse receipts within a period of three days.
The Client shall have the right to terminate this agreement and close his account held with the Depository Participant, provided no charges are payable by him to the Depository Participant. Provided further, termination of this agreement shall not affect the rights, liabilities and obligations of either party accruing prior to termination and shall continue to bind the parties to their satisfactory completion.
13. In the event of Depository Participant being suspended or terminated by CDSL / SEBI in terms of Bye Laws Business Rules of CDSL or SEBI (Depositories & Participants) Regulations, 1996, Depository Participant/CDSL may give a notice of not less than 30 days of such suspension/termination to the client. In such an event, the Client shall specify whether the balances in its account should be transferred to another account of the Client held with another Depository Participant or if it will seek delivery of commodities against the electronic warehouse receipts held. Based on the instructions of the Client, the Depository Participant shall initiate the procedure for transferring such electronic warehouse receipts or send a delivery advice against electronic warehouse receipts within a period of three days.
14. The Client shall have the right to create a pledge of the electronic warehouse receipts held in the dematerialised form. The Client may exercise the right to freeze his account maintained with the Depository Participants as to lock the electronic warehouse receipt shell with the Depository Participant. The Client may exercise the right to unfreeze his account maintained with the Depository Participant. Such freezing and unfreezing of the account shall be in accordance with the procedure prescribed in this regard by CDSL.
15. The Client shall notify the Depository Participant, within seven days, of any change in the details set out in the application form submitted to the Depository Participant at the time of opening the account or furnished to the Depository Participant from time to time.
16. The Depository Participant shall have a right to provide such information related to the Client's account as maybe requested by CDSL from time to time.
17. The Depository Participant shall not be liable to the Client in any manner towards losses liabilities and expenses arising from the claims of third parties and from taxes and other governmental charges in respect of electronic warehouse receipts credited to the Client's account.
18. Depository Participant shall discharge all its obligations to the client as provided in the SEBI [Depositories & Participants] Regulations, 1996 and Bye Laws of CDSL in force at the time of entering into this agreement and as may be amended as if such client were a beneficial owner of securities in terms of the aforesaid laws, and shall maintain the same standard of service provided such obligations are not in contravention of the terms and conditions of this agreement.
19. All claims, differences and disputes between Depository Participant and Clients arising out of or in relation to dealings on the CDSL Depository including any agreements, contracts and transactions made subject to this agreement or with reference to anything incidental thereto or in pursuance thereof or relating to their validity, construction, interpretation, fulfilment or the rights, obligations and liabilities of the parties thereto and including any question of whether such dealings, transaction, agreements and contracts have been entered into or not, shall be referred to arbitration in accordance to and subject to the provisions of the Arbitration and Conciliation Act, 1996. The arbitration mechanism prescribed under the CDSL Bye-Laws, Rules and Regulations for disputes between members and clients in securities transactions shall be applicable to disputes between the Client and DP in relation to disputes arising out of and in connection with this agreement.

In Witness whereof the Client and the Depository Participant has caused these presents to be executed as of the day and year first above written Signed and delivered by (for and on behalf of the client):

IN CASE OF JOINT HOLDING, ALL JOINT HOLDERS MUST SIGN.

⊗

1st Holder

⊗

2nd Holder

⊗

3rd Holder

* PLEASE STAMP & SIGN IN CASE OF HUF ACCOUNT



POWER OF ATTORNEY

POA FOR PAY-IN OF SECURITEIS FOR THE PURSPOE OF SETTLEMENT

(To be executed on Stamp Paper or Rs. 100/-)

_____ Client Code

To all to whom these presents shall come I/we,(name of the BO) Indian inhabitant(s) send greetings.

Whereas I/We hold a Beneficiary account no(s).....(BO-ID) with Central Depository Services (India) Limited, through **FairWealth Securities Ltd.** (Name of the Depository Participant bearing DP-ID-12049100

And whereas I/we am/are an investor(s) engaged in buying and selling of commodities through **Fairwealth Commodities Broking Pvt. Ltd.** (Name of the Clearing Member), a member of National Spot Exchange Limited (NSEL), bearing Registration No. 13250.

And whereas due to exigency and paucity of time, I/We am/are desirous of appointing an agent/attorney to operate the aforesaid beneficiary account (s) on my/our behalf for a limited purpose in the manner hereinafter appearing.

NOW KNOW YOU ALL THE THESE PRESENTS WITNESSTH THAT I/WE THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE AND APPOINT **Fairwealth Commodities Broking Pvt. Ltd.** (Name of the attorney/ Clearing Member) as may/our true and lawful attorney(s) (hereinafter referred to as the attorney) for me/us and on my/our behalf in my/our name to do instruct the aforesaid depository participant to debit commodities and/or to transfer commodities from the aforesaid account(s) for the purpose of delivering the same to the clearing house of the recognized stock exchange towards any segment in respect of commodities sold by me/us through them.

This authority is restricted to the pay-in obligations arising out of the transactions of sale effected by me/us through **Fairwealth Commodities Broking Pvt. Ltd.** (Name of Clearing Member) and I/We ratify the instruction give by the aforesaid Clearing Member to the Depository Participant named hereinabove in the manner specified herein.

I/We further agree to confirm that the powers and authories conferred by this Power of Attorney shall continue until I/We have given to the Depository Participant fifteen days advance notice in writing to the contrary.

Signed and delivered

By the within named Beneficial Owner(s)

1.) ⊗

(Name of First Holder)

(Sign. of First Holder)

2.) ⊗

(Name of Second Holder)

(Sign. of Second Holder)

3.) ⊗

(Name of Third Holder)

(Sign. of Third Holder)

In the presence of (Name & Address of witness)

(Name of witness).....}

(Address).....} ⊗

.....}

(Sign of Witness)

Date:.....Place :.....}

I/We Accept

(Name, Address and Signature of the Attorney

3rd Holder
2nd Holder
1st Holder
* In case of joint holdings all holders must sign * Please stamp & sign in case of HUF A/c

PAYMENT OF DEPOSITORIES & WARE HOUSE CHARGES FOR OUR DEPOSITORY ACCOUNTS

FairWealth Commodities Broking Pvt. Ltd.

UG-38, Somdutt Chambers II
9, Bhikaji Cama Place, New Delhi-110066

Subject : Payment of Depository & Ware house charges for our Depository Accounts.

Sir

We do hereby authorize **FairWealth Securities Ltd.** to debit the Depository and Warehouse Charges of our Depository Accounts (CDSL), Client ID..... being maintained with **FairWealth Securities Ltd.**, from our trading account.

Thanks and Regards

Date:

PAYMENT OF DEPOSITORIES & WARE HOUSE CHARGES FOR OUR DEPOSITORY ACCOUNTS

FairWealth Securities Ltd.

Plot No. 651-652, Udyog Vihar,
Phase-V, Gurgaon-122001 (Haryana)

Dear Sir,

I/we refer to my/our Demat account client ID , as per clause 5 of DP Client agreement you are required to provide me/us transaction statement including statement of account.

1. I/we understand that, I/we have the option to receive transaction statement by email or on website. In pursuance of the same, I/we hereby opt for receipt of transaction statement (including but not limited to statement of account holding statement or any other communication) through email or on website.
2. I/we is/are aware that I/we will not receive the transaction statement in paper form.
3. I/we will take all the necessary means to ensure confidentiality and secrecy of the login name and password of the internet/email account.
4. I/we, is/are aware that the transaction statement may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised, in which case I/we shall not hold you responsible in any manner.
5. I/we shall immediately inform the DP about change in email address if any, in writing in case transaction statements are sent by email.
6. I/we/you shall have the right to terminate this service by giving a 10 days written notice in advance.

Thanking you,

Yours faithfully

⊗

1st Holder

⊗

2nd Holder

⊗

3rd Holder

ACKNOWLEDGEMENT FROM CLIENT

FairWealth Securities Ltd.

Plot No. 651-652, Udyog Vihar,
Phase-V, Gurgaon-122001 (Haryana)

I/We hereby confirm that I/We have received the copy of KYC Form, Power of Attorney along with Member Client Agreement / Tripartite Agreement/warehouse receipts agreement.. I/We also confirm that I/We got my/our unique client code.

Thanking You

Date :

⊗ (34) _____

Place :

Signature



FairWealth Securities Ltd.

DPID : 12049100 DP SEBI Regn. No. IN-DP-CDSL-393-2007
Regd. Office : Plot No. 651-652, Udyog Vihar, Phase-V, Gurgaon-122001 (Haryana)
Tel. : 0124-3024400 Fax : 0124-3024474 E-mail : dp@fairwealth.in Website : www.fairwealth.in



Terms and Conditions-Cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions :

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
- "DP" means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
- "BO" means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
- SMS means "Short Messaging Service"
- "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
- "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
- "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability :

- The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
- The service is currently available to the BOs who are residing in India.
- The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
- In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
- The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts :

- The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
- The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
- The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
- The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

DP Id	1	2	0	4	9	1	0	0	BO Id											
-------	---	---	---	---	---	---	---	---	-------	--	--	--	--	--	--	--	--	--	--	--

Sole/First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile No. on which messages are to be sent

+	9	1																		
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(Please write only the mobile number without prefixing country code or zero)

The Mobile Number is registered in the name of : _____

E-mail Id : _____
(Please write only ONE valid email Id on which communication; if any, is to be sent)

Signature of Sole/First Holder

Signature of Second Holder

Signature of Third Holder

Place : _____

IN CASE OF JOINT HOLDING, ALL JOINT HOLDERS MUST SIGN.

Date : ____/____/20____

* Please do not use correction fluid, all cuttings must be attested by all the joint holder(s).



FairWealth Securities Ltd.

DPID : 12049100 DP SEBI Regn. No. IN-DP-CDSL-393-2007

Regd. Office : Plot No. 651-652, Udyog Vihar, Phase-V, Gurgaon-122001 (Haryana)
Tel. : 0124-3024400 Fax : 0124-3024474 E-mail : dp@fairwealth.in Website : www.fairwealth.in

CHARGES STRUCTURE - CDSL

	Scheme-A	Scheme-B
1. Account Opening Charges (Trading + DP)	Rs.100/-	Rs.100/-
2. Account Maintenance Charges	Rs. 300/-	Rs. 1000/- (One Time)
3. Rematerialisation Charges	Rs. 125/- Per Request	Rs. 125/- Per Request
4. Transaction Charges Credit Debit	Nil Rs. 25/-	Nil Rs.25/-
5. Pledge (Per Transaction) Creation Invocation/ Closure	Rs. 60/-	Rs. 60/-
6. Service Charges (Warehouse Bill)	02% Extra above to Warehouse Bill	

SCHEME (Please tick where applicable)	Scheme A <input type="checkbox"/>	Scheme B <input type="checkbox"/>
----------------------------------------------	-----------------------------------	-----------------------------------

In addition to the above the following out of pocket expenses shall also be charged.

1. Instruction Booklet : **Rs. 2/- per leaf.**
2. Incase of demat / remat & DIS rejection will be charged @ **Rs. 30/-** per instruction.
3. Additional Statement of Holding / Transaction : **Rs. 5/- Per Page + Postage**
4. Non Periodic statement & other communication shall be charged @ **Rs. 30/-** per mail.
5. Charges are subjection to revision at Depository Participant sole discretion by giving 30 days prior notice and shall be informed by cirucular sent by ordinary post/press advertisement.
6. Any other services not specified above shall be charged extra.
7. Taxes and other government levies extra as applicable from time to time.
8. Any Modification in client master **Rs. 25/-** Per Modification.



1st Holder



2nd Holder



3rd Holder

***Please Stamp & Sign in case of HUF account.
IN CASE OF JOINT HOLDING, ALL JOINT HOLDERS MUST SIGN.**







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Tel. : 0124-3024400 Fax : 0124-3024474 E-mail : dp@fairwealth.in Website : www.fairwealth.in

ACKNOWLEDGEMENT RECEIPT

We hereby acknowledge the receipt of the Account opening application form :-

S. No.

Name of the Sole / First Holder	
Name of the Second Holder	
Name of the Third Holder	

If you need any further clarification/information
please feel free to call us : 0124-3024400

(Depository Participant Seal and Signature)



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SIGNATURE CARD

Account No.										DP-ID	CDSL : 12049100
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I/We enclose specimen signature of mine / us / the person(s) authorised by us.

	Name(s) of the Holder (s)	Signature(s) of the Holder(s)
First / Sole Holder		⊗
Second Holder		⊗
Third Holder		⊗
Telephone No. (of First/Sole Holder)		



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SIGNATURE CARD

Account No.										DP-ID	CDSL : 12049100
-------------	--	--	--	--	--	--	--	--	--	-------	-----------------

I/We enclose specimen signature of mine / us / the person(s) authorised by us.

	Name(s) of the Holder (s)	Signature(s) of the Holder(s)
First / Sole Holder		⊗
Second Holder		⊗
Third Holder		⊗
Telephone No. (of First/Sole Holder)		

Please attach recent passport size photograph in the space provided below :

First / Sole Holder	Second Holder	Third Holder
Please paste recent colour Photograph	Please paste recent colour Photograph	Please paste recent colour Photograph
Signature across Photograph	Signature across Photograph	Signature across Photograph
Name:	Name:	Name:

Please attach recent passport size photograph in the space provided below :

First / Sole Holder	Second Holder	Third Holder
Please paste recent colour Photograph	Please paste recent colour Photograph	Please paste recent colour Photograph
Signature across Photograph	Signature across Photograph	Signature across Photograph
Name:	Name:	Name:



OUR OFFERINGS

- Equities and Derivatives Trading
- Commodities Trading
- Currency Derivative Trading
- Online Trading
- Mobile Trading
- Online Charting
- Online IPO & Mutual Funds Distribution
- Insurance Broking Services
- Depository Services (CDSL)
- Institutional Desk
- Portfolio Management Services (PMS)
- Research Desk Support
(Intraday SMS/Online Chat)
- Investors Meet
- Pandit Vani
(Astrological Market Outlook)
- Dr. Stock
(Equity & Commodity Research Solution)
Login: <http://www.fairwealth.in/drstock.aspx>

DELHI/NCR

- BHIKAJI CAMA PLACE • NARAINA INDUSTRIAL AREA • NEHRU PLACE • MAYUR VIHAR (PHASE I) • MEHRAULI
- WEST PATEL NAGAR • GURGAON • NOIDA • VAISHALI

PAN INDIA

- ASSAM (GUWAHATI) • ANDHRA PRADESH (HYDERABAD) • CHATTISGARH (RAIPUR) • GUJARAT (AHMEDABAD, SURAT)
- HARYANA (ROHTAK, PANIPAT) • KARNATAKA (BANGALORE, HUBLI) • MADHYA PRADESH (INDORE) • MAHARASHTRA (MUMBAI, PUNE) • ORISSA (BHUBHANESHWAR) • PUNJAB (AMRITSAR, CHANDIGARH, JALANDHAR, LUDHIANA, PATIALA)
- RAJASTHAN (JAIPUR, JODHPUR, SRI GANGANAGAR) • TAMIL NADU (CHENNAI, MADURAI) • UTTARANCHAL (DEHRADUN)
- UTTAR PRADESH (AGRA, LUCKNOW) • WEST BENGAL (KOLKATTA)

BRANCHES

SMS "FAIR" TO 56677



TOLL FREE NO.: 1800-102-0052

FAIRWEALTH COMMODITY BROKING PVT. LTD.

CORPORATE OFFICE : UG-38, Somdutt Chambers II, 9 Bhikaji Cama Place, New Delhi-110066
 Phone: 011-46091131-78, 0124-3024400 Fax: 0124-3024615
 Website : www.fairwealth.in, E-mail : commodity@fairwealth.in